



PATENT

IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of : Maki SATO et al.

Group Art Unit : Unassigned

Application No. : 10/581,046

Examiner : Unassigned

Filed : May 30, 2006

For : THERMOPLASTIC RESIN COMPOSITION FOR  
MASTERBATCHES, METHOD OF PRODUCING MOLDING  
MATERIAL THEREOF, AND THERMOPLASTIC RESIN  
COMPOSITION USING THEM AND METHOD OF  
PRODUCTION THEREOF

Docket No. : 11197/15

**MAIL STOP AMENDMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO/SB/08a FORM. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO/SB/08a FORM, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). It is believed that no fees other than those indicated below are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

This Information Disclosure Statement is being filed (a) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d), (b) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, (c) before the mailing date of a first Office Action on the merits in the present application, OR (d) before the mailing of a first office action after filing of a request for continued examination. No certification or fee is required.

References 4-6 were cited in a Japanese Office Action issued in counterpart Japanese application no. 2004-291503. A copy of the Office Action is attached for the Examiner's information. Please note that the remaining references cited in the Office Action were filed in the Information Disclosure Statement of May 30, 2006 in this application.

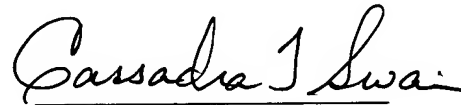
English translations of the Abstracts of the non-English references are attached hereto.

Consistent with U.S. practice, copies of the U.S. references are omitted from this filing.

Respectfully submitted,

KENYON & KENYON LLP

Date: Dec. 13, 2006



Cassandra T. Swain, Ph.D.

Registration No. 48,361

Kenyon & Kenyon LLP  
1500 K Street, N.W.  
Washington, D.C. 20005  
Telephone: (202) 220-4200  
Facsimile: (202) 220-4201



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/581,046
Filing Date	May 30, 2006
First Named Inventor	Maki SATO, et al.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	11197/15

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-2005/0256293 A1	11-17-2005	Yamanaka et al.	
	2	US-2004/0127611 A1	07-01-2004	Yamanaka et al.	
	3	US- 6,245,880 B1	06-12-2001	Takeuchi et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	4	JP 2001-89489	04-03-2001	SHINICHI KAKO KOFUN YUGENKOSHI		ABS
	5	JP 2003-34749	02-07-2003	TEIJIN CHEMICALS LTD.		ABS
	6	JP 2001-172290	06-26-2001	TOYO BOSEKI		ABS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

PTO/SB/08b(08-03)

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.